

EXHIBIT A

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Page and Line Designations of Frank DiPascali Testimony
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DATE	STARTING PAGE	STARTING LINE	ENDING PAGE	ENDING LINE	TESTIMONY
12/4/2013	4803	23	4804	21	23 Q. As part of this strategy, the IA business would do what was 24 referred to as going into the market and purport to buy 25 securities, is that right? 1 A. Correct. 2 Q. Were you really buying securities? 3 A. No. 4 Q. Was this all just on paper? 5 A. Yes. 6 Q. Then, when you got out of the market, the IA business would 7 purport to buy treasury securities, right? 8 A. That's correct. 9 Q. Did you really buy treasury securities? 10 A. No. 11 Q. Were those all fake? 12 A. Yes. 13 Q. When that activity happened, would there be communications 14 sent to customers? 15 A. Every time. 16 Q. Are you familiar with the term "trade confirmation"? 17 A. Sure. 18 Q. Would trade confirmations be sent to customers? 19 A. Yes. 20 Q. Would that reflect the fake trades that you just described? 21 A. Exactly that.

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12/4/2013	4804	22	4805	14	<p>22 Q. Would account statements that we have looked at be sent to 23 customers?</p> <p>24 A. Yes.</p> <p>25 Q. Would those account statements reflect the fake trading 1 that you testified about?</p> <p>2 A. Yes.</p> <p>3 Q. When you sent those trade confirmations and sent those 4 account statements to clients, did you know that you were 5 committing fraud?</p> <p>6 A. Yes.</p> <p>7 Q. In terms of going in and out of the market, who would make 8 the decision to do that activity?</p> <p>9 A. Bernie made the final decision on that.</p> <p>10 Q. When you made that decision, were you looking at historical 11 prices when you were trying to get out of the market?</p> <p>12 A. Most of the time.</p> <p>13 Q. At any point was any of the trading actually real?</p> <p>14 A. No.</p>
12/5/2013	4920	23	4921	12	<p>23 Q. Now, did you come to learn that there was a project to 24 re-do some of the ACF statements?</p> <p>25 A. Yes.</p> <p>1 Q. And who was involved in that project to re-do the ACF 2 statements?</p> <p>3 A. Bernie, Annette and Jodi and, to a certain extent, myself.</p> <p>4 Q. And how did you participate in re-doing the ACF statements?</p> <p>5 A. I provided an array of U.S. treasury notes and researched 6 historical pricing mechanisms and fed information to Annette.</p> <p>7 Q. And when you say you provided treasury notes, did you go 8 out and buy real treasuries to put in the ACF accounts?</p> <p>9 A. No.</p> <p>10 Q. Are you again speaking of just providing information for 11 fake trades?</p> <p>12 A. Correct.</p>

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12/5/2013	4930	6	4931	5	<p>6 Q. Now, over the years, did Ms. Bongiorno ask you for treasury 7 bill information?</p> <p>8 A. Yes.</p> <p>9 Q. How often would she have conversations with you about 10 obtaining treasury bill information?</p> <p>11 A. She would have conversations with either me or my staff 12 every month.</p> <p>13 Q. And what would -- what, if anything, would she say that she 14 needed that treasury information for?</p> <p>15 A. She wouldn't typically say what she needed it for.</p> <p>16 Q. What would she say to you?</p> <p>17 A. I need a treasury bill for this month.</p> <p>18 Q. And how would you go about getting the treasury bill for 19 that month?</p> <p>20 A. I'd look at where we are on the calendar. I'd estimate 21 about how long I wanted to be out in maturity; so if it was 22 December of 2013, I would look at a February or March 2014 23 treasury bill. I'd go to Bloomberg and ascertain the price and 24 give it to Annette.</p> <p>25 Q. And when you would go to Bloomberg, would you look at 1 backdated prices?</p> <p>2 A. Depending on the date that she asked me that she needed the 3 bill for.</p> <p>4 Q. And was any treasury bill ever purchased?</p> <p>5 A. Never.</p>

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12/5/2013	4931	6	4932	12	<p>6 Q. I'll ask you a question. Did the market making part of</p> <p>7 Madoff Securities make a market in treasury bills?</p> <p>8 A. No.</p> <p>9 Q. So could you go to the market making part of the business</p> <p>10 and get a treasury bill to put in an IA account?</p> <p>11 A. No.</p> <p>12 Q. From time to time did you get real treasury bills?</p> <p>13 A. Yes.</p> <p>14 Q. And what were those real treasury bills for?</p> <p>15 A. To invest the excess cash in the IA checking account.</p> <p>16 Q. And when you say to invest the excess cash in the IA</p> <p>17 checking account, for what reason did you get a treasury bill</p> <p>18 to do that?</p> <p>19 A. So as to provide safety and an enhanced yield to what the</p> <p>20 checking account interest rate was.</p> <p>21 Q. So it would be fair to say it would be a way of getting</p> <p>22 interest on the checking account?</p> <p>23 A. More or less, yes.</p> <p>24 Q. And when you bought those real treasury bills to earn</p> <p>25 interest on the Madoff checking account, what did you have to</p> <p>1 do?</p> <p>2 A. I had to call my broker.</p> <p>3 Q. And after you called your broker, was there a process to</p> <p>4 going out and buying the treasury bill?</p> <p>5 A. Yeah, I would tell the broker how much dollars I wanted to</p> <p>6 commit to treasury bills and typically which one I wanted to</p> <p>7 buy, and he would take down that information and call me back</p> <p>8 and typically give me a report that I bought X amount of</p> <p>9 treasury bills at this price.</p> <p>10 Q. And then that would -- you would actually get a real</p> <p>11 treasury bill?</p> <p>12 A. Yeah.</p>

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12/5/2013	4932	13	4934	13	<p>13 Q. Now, for on the IA side, when you had to provide -- when</p> <p>14 you would provide the fake information, what would you do</p> <p>15 there?</p> <p>16 A. I'd look at a pricing service of historical prices of</p> <p>17 treasury bills, ascertain the price on the date that I needed</p> <p>18 and write a ticket and put it into the AS/400.</p> <p>19 Q. And when you would go out and buy the real treasury bills,</p> <p>20 was Ms. Crupi near you?</p> <p>21 A. We worked in the same office.</p> <p>22 Q. And when you were talking on the phone buying real treasury</p> <p>23 bills, was she in the vicinity where she could overhear what</p> <p>24 you were saying?</p> <p>25 A. Yes.</p> <p>1 Q. And would she be able to observe you, how you were on the</p> <p>2 phone and what you were doing?</p> <p>3 A. Certainly.</p> <p>4 Q. Now, what was your understanding of what Ms. Bongiorno</p> <p>5 would do with the treasury information that you gave to her?</p> <p>6 A. She would put through a buy ticket that was approximately</p> <p>7 equal to the cash credit balance reflected in the account she</p> <p>8 was working on, and it would produce a confirmation and an</p> <p>9 entry on the customer statement that he was now -- owned</p> <p>10 treasuries.</p> <p>11 Q. And as with the other trading that was on those accounts,</p> <p>12 was any of it real?</p> <p>13 A. No.</p>

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12/5/2013	4961	15	4962	9	<p>15 Q. Did Mr. Madoff propose a solution for how to deal with</p> <p>16 this?</p> <p>17 A. He either already had an account or two open or was about</p> <p>18 to open a new account or a series of them, I don't recall. He</p> <p>19 was basically giving that responsibility to me. He wanted</p> <p>20 treasury notes, treasury bills only. As the CDs would get</p> <p>21 unwound or, I don't remember, they might have unwound them</p> <p>22 immediately, I'm not sure, but in short order I managed a group</p> <p>23 of Bernard L. Madoff brokerage accounts that were held at other</p> <p>24 brokerage firms for the purpose of purchasing short-term U.S.</p> <p>25 government securities.</p> <p>1 Q. These short-term U.S. securities were real, right?</p> <p>2 A. Yes.</p> <p>3 Q. This was just a way of getting interest on the real cash</p> <p>4 that was in the 703 account?</p> <p>5 A. Yes.</p> <p>6 Q. What were some of the firms that you now had responsibility</p> <p>7 for that had these brokerage accounts?</p> <p>8 A. Bear Stearns, Fidelity, Bank of New York, Morgan Stanley,</p> <p>9 Lehman Brothers.</p>
12/5/2013	4962	10	4962	22	<p>10 Q. We talked a little bit about this earlier, when you were</p> <p>11 buying these short-term securities, what steps would you have</p> <p>12 to take to buy these real securities on those brokerage</p> <p>13 accounts?</p> <p>14 A. I typically picked up the phone and called the broker or</p> <p>15 the representative of each of those organizations and</p> <p>16 communicated my needs. Then he typically got or she typically</p> <p>17 got back to me and told me what I had done. Sometimes those</p> <p>18 conversations occurred in the form of faxes. Most of the time</p> <p>19 they were on the telephone.</p> <p>20 Q. This was different than just looking at historical prices</p> <p>21 and writing something up for the fake trades, right?</p> <p>22 A. Yes.</p>

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12/9/2013	5091	22	5092	11	22 Q. So stepping back, prior to 2004 you had been providing 23 money from the 703 account to the BONY account side of the 24 business, fair? 25 A. When Tony died, they took the excess funds that Tony was 1 investing and they put me in charge of buying treasury bills 2 with that money. Occasionally they would call for some of that 3 money back, and I would take it from the treasury accounts and 4 bring it back to BONY, yes. 5 Q. And that was done using the brokerage accounts that you had 6 set up in connection with the treasury, right? 7 A. Accounts at Bear Stearns and Lehman and the like. 8 Q. And that was interest from the 703 account and not any sort 9 of trading commission; is that fair? 10 A. It was actually the capital of the 703 account that was 11 coming back.
12/9/2013	5108	18	5108	25	18 Q. I want to keep going a little bit with the movement of 19 money from the 703 account to the BONY account. In the course 20 of your work you previously testified that there came a time 21 that you began handling the treasury bills in connection with 22 the 703 Chase account. Do you recall testifying about that? 23 A. Correct. 24 Q. Again, what business was the Chase 703 account used for? 25 A. Customer funds from the IA business.

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12/10/2013	5344	9	5344	23	9 Q. What are we looking at? 10 A. The first page is something that I probably printed to get 11 a handle on what the industry standard would be in order to 12 collateralize an option position. I don't know what manual I 13 took that from. It might have been from the Internet, it might 14 have been from Bear Stearns, it might have been from any number 15 of sources. The point of what I would be reading there would 16 be how to margin or collateralize an option. This is the 17 calculation. That box in the middle was the answer to my 18 question. 19 Q. Whose handwriting is on this page? 20 A. Mine. 21 Q. When you say collateralize, does that mean in essence to 22 back up or to offer some protection to your counterparty? 23 A. Exactly that.

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12/10/2013	5344	24	5346	3	<p>24 Q. Now can we go to the second page of this document. What is</p> <p>25 this that we are looking at?</p> <p>1 A. It's a spreadsheet that I created that was going to be the</p> <p>2 nuts and bolts of this exercise. It was going to do a lot of</p> <p>3 the calculation for me and allow the process to progress</p> <p>4 swiftly instead of from month to month to month and client to</p> <p>5 client to client calculate all sorts of stuff, and then have to</p> <p>6 then create another side to that.</p> <p>7 This spreadsheet, which is an Excel-based spreadsheet,</p> <p>8 is identifying certain treasury bills across the top column.</p> <p>9 The top row is the CUSIP of treasury bills and options. The</p> <p>10 second row are the symbols of options and then a string of</p> <p>11 treasury bills.</p> <p>12 Going on the far left column are a string of account</p> <p>13 numbers. Those are the accounts that Bernie told us he wanted</p> <p>14 to use to be the counterparties of the customer option</p> <p>15 positions. What this is doing is it's allowing me to randomly</p> <p>16 assign, once I know the total of my customer option positions,</p> <p>17 a quantity to each of those counterparties. Then, once I've</p> <p>18 randomly defined what each counterparty's position is, this is</p> <p>19 calculating what its margin or collateral requirement would be.</p> <p>20 Once I established that, this spreadsheet allows me to</p> <p>21 randomly pick a group of treasuries that were going to</p> <p>22 represent that collateral, and then the whole total number</p> <p>23 would circle back to what I needed. It's fairly complicated,</p> <p>24 but it did all the grind work necessary to accomplish what</p> <p>25 Bernie wanted.</p> <p>1 Q. Were any of the treasury bills that are reflected on this</p> <p>2 real?</p> <p>3 A. No.</p>

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1/13/2014	6950	14	6951	9	<p>14 Did Madoff Securities on the 18th 15 floor make a market in options? 16 A. No. 17 Q. Could any options trades be coming out of inventory from 18 upstairs? 19 A. Possibly as principal. But the reality was the trading 20 room upstairs had insignificant options inventory. 21 Q. Nothing like 20 percent of the market? 22 A. Nothing like 20 contracts much less 20 percent. 23 Q. It was a very, very small bit? 24 A. It was insignificant. 25 Q. How about treasuries? 1 A. What about them? 2 Q. Did they make a market in treasuries? 3 A. No. 4 Q. Could you be buying treasuries out of the inventory 5 upstairs, or did they have inventory -- strike that question. 6 Did they have inventory to be placing into the account of 7 treasuries upstairs that was equivalent to the amount that was 8 on the statements? 9 A. No.</p>